

# The metric measure boundary of non collapsed RCD spaces

Daniele Semola  
*FIM-ETH Zürich*  
daniele.semola@math.ethz.ch

# Introduction

I will discuss joint work with [Elia Bruè](#) and [Andrea Mondino](#) where we prove that the [metric measure boundary](#) vanishes for  $\text{RCD}(K, n)$  metric measure spaces  $(X, d, \mathcal{H}^n)$  with empty boundary.

In particular, the statement holds for [Alexandrov spaces](#) with curvature bounded below.

Combined with earlier work of [Kapovitch-Lytchak-Petrinin](#), this answers a question raised by [Perelman-Petrinin](#) about the [existence](#) of [infinite geodesics](#) for Alexandrov spaces.

# Outline

- 1 Introduction and motivations
- 2 Main results and consequences
- 3 Outline of the proof
- 4 Open questions

# Brief introduction to RCD spaces

RCD( $K, N$ ) metric measure spaces  $(X, d, m)$  are “Riemannian” spaces with Ricci bounded from below by  $K \in \mathbb{R}$ , dimension bounded above by  $1 \leq N < \infty$ .

Recall the Bochner identity:

$$\frac{1}{2} \Delta |\nabla f|^2 = |\text{Hess } f|_{\text{HS}}^2 + \nabla f \cdot \nabla \Delta f + \text{Ric}(\nabla f, \nabla f).$$

## Definition

A m.m.s.  $(X, d, m)$  is RCD( $K, N$ ) if:

- $W^{1,2}$  is a Hilbert space and functions with bounded gradient are Lipschitz;
- for sufficiently many test functions  $f : X \rightarrow \mathbb{R}$ ,

$$\frac{1}{2} \Delta |\nabla f|^2 \geq \frac{(\Delta f)^2}{N} + \nabla f \cdot \nabla \Delta f + K |\nabla f|^2.$$

# Remarks

Contributions by several authors after [Sturm '04], [Lott-Villani '04] (for the CD **Curvature-Dimension** condition) and [Ambrosio-Gigli-Savaré '11] (for the additional **Riemannian** assumption).

The original approach (RCD iff  $(CD + W^{1,2}$  is Hilbert)), is equivalent to the formulation in the previous slide thanks to [Ambrosio-Gigli-Savaré '12], [Erbar-Kuwada-Sturm '13], [Ambrosio-Mondino-Savaré '15] and [Cavalletti-Milman '16].

# Alexandrov implies RCD

## Remark

In the **smooth Riemannian** case a lower bound on the **sectional curvature** clearly implies a lower bound on the **Ricci curvature**.

## Theorem (Petrunin '09, Zhang-Zhu '09)

*If  $(X, d)$  is an  $n$ -dimensional **Alexandrov space** with curvature bounded below by  $k \in \mathbb{R}$ , then  $(X, d, \mathcal{H}^n)$  is an **RCD** $(k(n - 1), n)$  space.*

The strategy is to show that any such space is a **CD** $(k(n - 1), n)$  space in the sense of **Lott-Sturm-Villani**.

## Other examples

- **Quotients** of  $\text{RCD}(K, N)$  spaces with respect to **isometric group actions** are  $\text{RCD}(K, N)$  [Galaz-García-Kell-Mondino-Sosa '17].
- **Ricci limit spaces**  $(M^n, g_i, \text{vol}_i) \rightarrow (X, d, m)$  with  $\text{Ric}_i \geq K$  are  $\text{RCD}(K, n)$  spaces.
- **Cones** and **spherical suspensions** over  $\text{RCD}(n - 2, n - 1)$  spaces are  $\text{RCD}(0, n)$  spaces [Ketterer '13, '15].

# Some key properties

## Theorem (Sturm '06)

The *Bishop-Gromov inequality* holds for  $CD(K, N)$  spaces (hence it holds for  $RCD(K, N)$  spaces).

## Theorem (De Philippis-Gigli '18 after Cheeger-Colding '97 and Mondino-Naber '14)

Given any  $RCD(K, n)$  space  $(X, d, \mathcal{H}^n)$ , at  $\mathcal{H}^n$ -a.e. point the *tangent cone* is unique and *isometric to  $\mathbb{R}^n$* .



# Self-improvement of Curvature-Dimension condition

A fundamental insight originally due to [Bakry '85] in the setting of  $\Gamma$ -calculus is that the Bochner inequality

$$\Delta \frac{1}{2} |\nabla f|^2 \geq \nabla f \cdot \nabla \Delta f + K |\nabla f|^2,$$

for sufficiently many test functions  $f$ , **self-improves** to a Bochner inequality with **Hessian term**:

$$\Delta \frac{1}{2} |\nabla f|^2 \geq |\text{Hess}f|_{\text{HS}}^2 + \nabla f \cdot \nabla \Delta f + K |\nabla f|^2.$$

The principle was adapted to RCD spaces in [Gigli '14] building on top of the earlier [Savaré '13].

# Taylor expanding the volume of balls

On a **smooth Riemannian manifold**  $(X, d_g)$  endowed with its Riemannian **volume** measure  $\mathcal{H}^n$ , for any **interior** point  $x$  it holds

$$\mathcal{V}_r(x) := 1 - \frac{\mathcal{H}^n(B_r(x))}{\omega_n r^n} = \frac{\text{Scal}(x)}{6(n+2)} r^2 + O(r^4), \quad r \downarrow 0.$$

For a **boundary** point  $y \in \partial X$  it holds

$$\mathcal{V}_r(y) = \frac{1}{2} + o(1), \quad r \downarrow 0.$$

## Lemma

On **smooth Riemannian manifolds** with boundary, the measures  $r^{-1} \mathcal{V}_r \mathcal{H}^n$  weakly converge to  $c_n \mathcal{H}^{n-1} \llcorner \partial X$  as  $r \downarrow 0$ .

## Remarks

If  $(X, d, \mathcal{H}^n)$  is an  $\text{RCD}(K, n)$  space, then by **Bishop-Gromov**

$$\mathcal{V}_r(x) \geq C_{K,n} r^2, \quad 0 < r < 1.$$

However the **pointwise** (both-sided) second order Taylor expansion can **fail**:

- at **singular points**  $x \in X$

$$\lim_{r \rightarrow 0} \mathcal{V}_r(x) = 1 - \theta_n(x) > 0;$$

- there might be **regular points**  $x \in X$  such that for some  $0 < \alpha < 2$

$$\mathcal{V}_r(x) \sim r^\alpha, \quad r \downarrow 0.$$

# Metric measure boundary

## Definition (Kapovitch-Lytchak-Petrinin '17)

A metric measure space  $(X, d, \mathcal{H}^n)$  has finite **metric measure boundary** if the measures

$$\mu_r(dx) := \frac{1}{r} \mathcal{V}_r(x) \mathcal{H}^n(dx)$$

are locally uniformly bounded for  $0 < r < 1$ . If  $\mu_r \rightarrow \nu$  weakly as  $r \downarrow 0$ , then  $\nu$  is called **metric measure boundary** of  $(X, d, \mathcal{H}^n)$ .

## Conjecture [Kapovitch-Lytchak-Petrinin '17]

Any **Alexandrov** space with **empty boundary** has **vanishing** metric measure boundary.

In [KLP17] the conjecture was established in two cases:

- two dimensional **Alexandrov surfaces**;
- **boundaries** of **convex bodies** (with the induced metric).

# Boundaries of RCD spaces

## Definition (De Philippis-Gigli '18)

The **boundary** of an  $\text{RCD}(K, n)$  space  $(X, d, \mathcal{H}^n)$  is the (topological) closure of the set of points with a **flat half-space** in the tangent cone:

$$\partial X := \overline{\mathcal{S}^{n-1} \setminus \mathcal{S}^{n-2}}.$$

In [Bruè-Naber-S. '20] we proved that

- $(X, d, \mathcal{H}^n)$  has empty boundary according to [DPG18] iff it has empty boundary according to [Kapovitch-Mondino '19];
- $\partial X$  is  $(n-1)$ -**rectifiable** with **locally finite**  $\mathcal{H}^{n-1}$  measure;
- at  $\mathcal{H}^{n-1}$ -a.e.  $x \in \partial X$  the tangent cone is **unique** and isometric to a **half-space**.

# Metric measure boundary on RCD spaces

## Theorem (Bruè-Mondino-S. '22)

There exists a constant  $C(K, n, v_0) > 0$  such that if  $(X, d, \mathcal{H}^n)$  is an  $\text{RCD}(K, n)$  space,  $p \in X$  verifies  $\mathcal{H}^n(B_1(p)) > v_0$  and  $B_2(p) \cap \partial X = \emptyset$ , then

$$|\mu_r|(B_1(p)) \leq C(K, n, v_0), \quad \text{for any } 0 < r < 1$$

and

$$|\mu_r|(B_1(p)) \rightarrow 0, \quad \text{as } r \rightarrow 0.$$

In particular, if  $(X, d, \mathcal{H}^n)$  has empty boundary, then it has vanishing *metric measure boundary*.

## Remark

The statement is non trivial also for *smooth manifolds*, because the constant depends only on  $K$ ,  $n$  and  $v_0$ .

# Consequences for Alexandrov spaces

## Question [Perelman-Petrinin '96]

Do **infinite geodesics** exist on any Alexandrov space with empty boundary?

## Theorem (Kapovitch-Lytchak-Petrinin '17)

*If the m.m.b. of an Alexandrov space  $(X, d)$  vanishes, then **a.e. direction** in  $TX$  is the starting direction of an infinite geodesic. Moreover the **geodesic flow** preserves the **Liouville measure** on  $TX$ .*

By [Petrinin '09] and [Zhang-Zhu '09] Alexandrov spaces are RCD.

## Corollary (KLP17+BMS22)

*The conclusion above holds on any Alexandrov space with empty boundary. Hence “many” **infinite geodesics** exist.*

# Reaching the boundary

## Theorem (Brué-Mondino-S. '22)

Let  $(X, d, \mathcal{H}^n)$  be an  $\text{RCD}(K, n)$  space with boundary  $\partial X$  such that its *doubling along the boundary* is  $\text{RCD}(K, n)$ . Then

$$\mu_r(\mathrm{d}x) := \frac{1}{r} \nu_r(x) \mathcal{H}^n(\mathrm{d}x) \rightarrow c_n \mathcal{H}^{n-1} \llcorner \partial X, \quad \text{as } r \downarrow 0.$$

## Remark

The additional assumption seems technical. It is satisfied for **Alexandrov spaces** by [Perelman '91] and **non collapsed Ricci limit spaces** with boundary by [Schlichting '12] (and “stability”).



# Proof outline

Proof divided in two parts:

- i) **uniform mass estimates** of the form  $|\mu_r| \leq f\mathcal{H}^n$  for some  $L^1(\mathcal{H}^n)$  function  $f : X \rightarrow [0, \infty]$ ;
- ii) the **density** w.r.t.  $\mathcal{H}^n$  of any weak limit  $\nu = \lim \mu_r$  is 0 a.e. .

Key ingredients for (i):

- a) **quantitative volume convergence** theorem for almost Euclidean balls via **splitting functions**;
- b) control of splitting maps away from sets of small  $(n - 1)$ -dimensional **content** and **iteration**;
- c) series of **quantitative covering arguments**.

Key ingredient for (ii): construction of **splitting functions** with “**vanishing Hessian**” at a.e. point.

# Harmonic coordinates

In [Bruè-Naber-S. '20] extending the previous [Cheeger-Colding '96], [Cheeger-Naber '14], [Cheeger-Jiang-Naber '18] we proved:

## Theorem

For any  $0 < \alpha < 1$  and  $\delta > 0$  there exists  $\varepsilon(\alpha, \delta, n) > 0$  such that if  $(X, d, \mathcal{H}^n)$  is an  $\text{RCD}(-\varepsilon, n)$  space and  $d_{\text{GH}}(B_2(p), B_2(0^n)) < \varepsilon$ , then there exists a *harmonic map*  $u : B_{3/2}(p) \rightarrow \mathbb{R}^n$  such that

- $|\nabla u| \leq 1 + \delta$  (see also [Honda-Peng '22]);

- 

$$\sum_{i,j} \frac{1}{\mathcal{H}^n(B_1(p))} \int_{B_1(p)} |\nabla u_i \cdot \nabla u_j - \delta_{ij}| \leq \delta;$$

- 

$$\frac{1}{\mathcal{H}^n(B_1(p))} \int_{B_1(p)} |\text{Hess } u|^2 \leq \delta;$$

- $u$  is a  $\alpha$ -biHölder *homeomorphism* with its image, which contains  $B_{1/2}(0^n)$ .

# Quantitative volume convergence via splitting maps

By [Colding '97], [Cheeger-Colding '97] and [De Philippis-Gigli '18], the volume  $\mathcal{H}^n$  is continuous under GH convergence for spaces with  $\dim \leq n$  and  $\text{Ric} \geq -(n-1)$ .

## Remark

Key to such a statement is the continuity when the limit space is  $\mathbb{R}^n$ .

## Theorem (Bruè-Mondino-S. '22)

The following quantitative volume convergence via splitting maps holds:

$$\left| 1 - \frac{\mathcal{H}^n(B_r(x))}{\omega_n r^n} \right| \leq C_n \left( r^2 + \int_0^r \frac{1}{t^n} \int_{B_t(x)} |\nabla u_i \cdot \nabla u_j - \delta_{ij}| d\mathcal{H}^n \frac{dt}{t} \right)$$

## Remark

Following the proof in [Cheeger '01] one gets a worse exponent  $\alpha(n) < 1$  at the RHS above. That estimate is too weak for controlling the m.m.b. .

# Degeneration and non degeneration of splitting maps

## Remark

It is understood at least from [Cheeger-Naber '14] (see also [Ding '01], [Petrunin '03] and [De Philippis-Zimbron '19]) that harmonic maps **degenerate** “at singular points”. They might also degenerate at some regular points.

An argument originally due to [Cheeger-Colding '00] shows **non degeneration** away from sets of small codimension  $(2 - \eta)$ -**content**, for any  $0 < \eta < 2$ .

## Lemma

There is  $E \subset B_1(p)$  such that:

- $E \subset \cup B_{r_i}(x_i)$ ,  $\sum_i r_i^{n-1} \leq C_n \delta$ ;
- for any  $x \in B_1(p) \setminus E$  it holds

$$\sup_{0 < r < 1} \frac{1}{\mathcal{H}^n(B_r(x))} \int_{B_r(x)} |\nabla u_i \cdot \nabla u_j - \delta_{ij}| \leq C_n \sqrt{\delta}.$$

# Comparison with Alexandrov techniques

In [Kapovitch-Lytchak-Petrinin '17] it is shown that Alexandrov spaces have finite metric measure boundary.

## Remark

The proof uses (smoothings of) distance coordinates in place of harmonic splitting functions.

Key differences between distance charts and harmonic charts (in Alexandrov geometry):

- distance coordinates have better first order pointwise behaviour: they are biLipschitz;
- harmonic coordinates have better second order integral behaviour: Hessian in  $L^2$  vs Hessian measure.

# Higher order expansion

## Definition (Kapovitch-Lytchak-Petrinin '17)

A metric measure space  $(X, d, \mathcal{H}^n)$  has finite **metric measure curvature** if the measures

$$\sigma_r(dx) := \frac{1}{r^2} \left( 1 - \frac{\mathcal{H}^n(B_1(x))}{\omega_n r^n} \right) \mathcal{H}^n(dx)$$

are locally uniformly bounded for  $0 < r < 1$ . If  $\sigma_r \rightarrow \sigma$  weakly as  $r \downarrow 0$ , then  $\sigma$  is called **metric measure curvature** of  $(X, d, \mathcal{H}^n)$ .

## Conjecture

Smooth Riemannian manifolds with  $\partial X = \emptyset$ ,  $\text{Ric} \geq -(n-1)$ ,  $\mathcal{H}^n(B_1(p)) > v_0$  verify

$$\frac{|\sigma_r|(B_1(p))}{\mathcal{H}^n(B_1(p))} \leq C(n, v_0).$$

RCD( $K, N$ ) spaces with  $\partial X = \emptyset$  ( $X, d, \mathcal{H}^n$ ) have **finite** m.m. curvature.

# Integral scalar curvature bounds

## Theorem (Petrunin '08)

There exists a constant  $C(n) > 0$  such that for any smooth Riemannian manifold  $(M^n, g)$  with *sectional curvature bounded below* by  $-1$  it holds

$$\int_{B_1(p)} |\text{Scal}| \, \text{dvol} \leq C(n).$$

## Conjecture [Yau '90]

An analogous *integral bound* holds if the lower sectional curvature bound is replaced by a *lower Ricci* curvature bound. If needed, add a “non collapsing” assumption.

# Remarks

## Remark

If the conjectural bound on the metric measure curvature holds, then [Yau's conjecture](#) follows taking the limit as  $r \downarrow 0$ .

The converse implication seems more subtle.

## Remark

The [a priori bounds](#) on the [metric measure curvature](#) are not known for smooth manifolds with sectional bounded below.

## Remark [Kapovitch-Lytchak-Petrinin '17]

The metric measure curvature is [not stable](#) under GH convergence, even in dimension 2.



# Reaching the boundary

In order to fully establish the **equivalence** between **m.m.b.** and **boundary measure** it seems relevant to address the following:

## Conjecture [Kapovitch-Ketterer-Sturm '20]

The **doubling** along the boundary of an  $\text{RCD}(K, n)$  space  $(X, d, \mathcal{H}^n)$  is  $\text{RCD}(K, n)$ .

A key step for the above seems to be the following:

## Conjecture [Bruè-Naber-S. '20]

Let  $(X, d, \mathcal{H}^n)$  be  $\text{RCD}(K, n)$  with boundary  $\partial X$ . Then

$$\Delta d_{\partial X} \leq -K d_{\partial X}, \quad \text{on } X \setminus \partial X.$$

Thank you for your attention!